Annex for the Franco-American projects

Lead Agency (ANR) procedure

Generic call for proposals 2024

**IMPORTANT**

The evaluation of the Franco-American projects will be carried out by the ANR only, in accordance with the procedures detailed in the Generic Call for Proposals 2024 (AAPG) available [here](https://anr.fr/fr/rf/) to which are added the provisions of this document.

It is essential to read carefully the work programme 2024, the generic call for proposals 2024, the AAPG 2024 guide, the rules relating to ANR funding allocation procedures ([https://anr.fr/fr/rf/](https://anr.fr/fr/rf/)) and all of this document before submitting research project proposals.

In case of any difference of interpretation, the French version of the present annex shall prevail

**ANR contacts:**

*aapg.adfi@anr.fr*: questions about the administrative and financial arrangements

*aapg.science@anr.fr*: questions about the scientific aspects of the project proposal

*aapg.si@anr.fr*: difficulties encountered when entering data or during document submission on the website

**NSF contacts:**

*pregalia@nsf.gov*: Phillip Regalia, Program Director
Before submitting a Franco-American detailed project proposal, scientific coordinators of such projects with a high TRL (Technology Readiness Level) are invited to read an Executive Order (EO) signed by President Biden on July 28, 2023, that, among other objectives, “encourages the expansion of U.S. domestic production for critical industries while maintaining flexibility to build strong international R&D partnerships.” Additional information is available in a public fact sheet about the EO.

1. Context and objectives of collaboration with United States of America

By establishing bilateral agreements with foreign funding agencies, the French National Research Agency (ANR) allows French researchers to initiate or extend their collaborative work with researchers from other countries. In this way, it hopes to encourage the emergence of European and International teams of excellence.

The aim is to fund innovative bi-national projects that clearly stand out from on-going national projects, demonstrating great synergy between each country’s teams and real integration of joint research.

To simplify and streamline the terms of collaboration and to set up borderless research funding areas, ANR (Agence nationale de la recherche) and NSF (National Science Foundation, Directorate for Computer & Information Science & Engineering (CISE)) have decided to enter into a Lead Agency type of agreement. Within this framework, the teams from the two countries prepare a joint project and one single agency (the Lead Agency) takes charge of the whole evaluation process (peer review and scientific panel evaluation) of the projects. Transnational projects compete with the national projects of the agency carrying out the evaluation. The partner agency can access all information on the projects. Each agency provides funding for its national teams1 according to its own terms.

The 2024 “Lead Agency” procedure for the selection of American PRCI “Collaborative Research Projects - International” established between the ANR and the NSF, recognises the ANR’s role as “Lead Agency”. Consequently, the submission and evaluation of project proposals are handled by the ANR. Nevertheless, the American scientific coordinator and the other partners on the American side may be required to provide certain information to the NSF, in accordance with its own procedures.

The aim of the partnership between the ANR and the NSF is to support projects in which collaboration between the Franco-American partners is genuine2.

Within this framework, the partners will ensure the complementarity of the scientific contributions of each country and the added value of international cooperation. As far as possible, the project start and end dates should be the same for the French and foreign partners. For information, the NSF requires a maximum project duration of 36 months.

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1 A French team is a partner with an institution or branch in France
2 Objectives defined jointly, shared skills and tasks, shared risks and results

Agence Nationale de la Recherche – Annex for the Franco-American ANR – NSF projects
American side may be required to provide certain information to the NSF, in accordance with its own procedures.

2. RESEARCH PRIORITIES

The bilateral agreement with NSF concerns the following topics:

- **Digital sciences, mathematics and their interactions** (Axis E.1 à E.6; Axe F.1; Axe H.12 and H.14)

**NSF:**
- Computer and Information Science and Engineering (CISE): Core Programs
  https://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505667

The project proposal **must correspond both** to one of the scientific axes of the AAPG 2024 and to the NSF programmes mentioned above.

3. SUBMISSION OF PROPOSALS

French and American partners must prepare a joint scientific project. Teams from each country must each appoint a **national scientific coordinator.**

ANR is in charge of submission and evaluation for both countries.

**French partners:**
Projects must be submitted within the framework of the "International Collaborative Research Project" process (PRCI) in ANR's 2024 Generic call for proposals.

**Foreign partners:**
As the "CISE: Core Programs" programme accepts applications **without deadlines,** the deadline for submitting copies of projects proposals to the NSF is the same as the deadline for submitting the project proposals to the ANR.

**It is essential to consult the information on the NSF website:**
3.1. EARLY REGISTRATION OF PROPOSALS WITH ANR

The French Scientific Coordinator must register his/her intention to submit a "PRCI" project on ANR's submission website before 5 pm (Paris time) on October the 19th 2023. This registering with ANR is mandatory³ (cf. §B.4. In the AAPG 2024 Guide) to be entitled to take part in the selection process. No pre-proposal is required at this stage.

To register an intention to submit a Franco-American PRCI project, the applicant must complete the online form, the content of which is detailed in the AAPG2024 Guide (cf. §B.4.1. Submitting pre-proposals (PRC/PRCE/PRME/JCJC instruments) and registering (PRCI instrument)). Foreign partners must be clearly identified in the online form, including American scientific coordinator.

Particular attention must be paid to the drafting of the abstract (cf. AAPG 2024 Guide, § B.4), which is intended to be sent to reviewers taking part in the evaluation process.

In the online form, a distinction must be made between the provisional amount of grant requested by French partners and the provisional amount of grant requested by foreign partners from their respective national agency.

Note: if one or more foreign partners decide to withdraw from the project proposal between the registration of an “International Collaborative Research Project” (PRCI) and the submission of a full proposal, it will not be possible to change the funding instrument given that other funding instrument (PRC, PRCE, PRME,JCJC) are subject to a two-stage selection procedure).

The acronym and project title provided to each agency must be the same.

The acronym chosen and the name of the French coordinator are definitive and cannot be modified when the full proposal is submitted. This also applies to the choice of the scientific evaluation panel (cf. AAPG2024 Guide, §B.4).

3.2. SUBMISSION OF FULL PROPOSALS TO ANR

French PRCI project applicants registered before the October 19th 2023 at 5 pm (Paris time) deadline will be invited - subject to certain eligibility conditions - to submit a full proposal to ANR together with applicants to the PRC, PRCE, PRME and JCJC funding instruments selected at the AAPG2024 first stage’s outcome.

Applicants are strongly advised to write their proposals in English as the evaluation is carried out in bi-lateral collaboration with the foreign agency. If the scientific document is written in French, a translation may be requested.

The French coordinator is subject to the rules (including eligibility) that apply to all national scientific coordinators under the Generic call for proposals (see AAPG2024 Guide §B.5.2, Eligibility of full proposals).

³ It is carried out only with the ANR
The full PRCI proposals must comply with the rules regarding format and content set out in the AAPG2024 Guide (cf. §B.5. Stage 2: full proposal submission and evaluation procedures).

Financial and administrative information on the foreign partners must be clearly indicated when the proposal is submitted on the website:

- the full name of the partner\(^4\), its acronym and its administrative category
- the foreign coordinator\(^5\) and the persons involved in the project
- the possible other foreign partners and the participating persons
- the amount of aid requested by the foreign partners from their national agency

Moreover, it is essential that applicants indicate the following information in the scientific document:

- **the foreign teams’ scientific contribution**;
- **foreign partners’ financial data broken down into items of expenditure**, in the same way as that of French partners\(^6\).

Finally, applicants are requested to provide a summary table for each French or foreign partner, with amounts indicated in Euro:

- the total amount of expenditures;
- the amount of funding requested from each funding agency (ANR, NSF).

The following table must be included in the full proposal scientific document’s as a summary of the persons involved:

<table>
<thead>
<tr>
<th>Country</th>
<th>University or Institution</th>
<th>Last Name</th>
<th>First Name</th>
<th>Current position</th>
<th>Role in the project</th>
<th>Involvement (person.months)*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Professor?</td>
<td>Coordinator?</td>
<td>Task number?</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Technician?</td>
<td></td>
<td>Task number?</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>PostDoc to be hired in the frame of the project?</td>
<td></td>
<td>Task number?</td>
</tr>
</tbody>
</table>

\(*\text{to be indicated with respect to the total project duration}*

\(^4\) Partner as a legal entity  
\(^5\) The information is essential for the data cross-checking with the NSF  
\(^6\) With regard to the sub-criterion “Adequacy of the resources implemented and requested for the objectives of the project” of criterion 2 “Organization and implementation of the project”, the resources mobilized and requested by the French partners AND by the foreign partners will be assessed.
Note: if one or more American partners decide to withdraw from the project after the submission of the full proposal, it will not be possible to change the funding instrument given that other funding instrument (PRC, PRCE, PRME, JCJC) are subject to a two-stage selection procedure.

4. ELIGIBILITY OF FULL PROPOSALS

Each agency decides on the eligibility of a project in accordance with its own rules.

ANR verifies the eligibility of the full proposal taking into account the criteria detailed in the AAPG 2024 Guide (cf. §B.5.2 Eligibility of full proposals) and detailed below:

1) The provisional project proposal duration must be the same for the two country partners.

As foreign agencies have their own eligibility criteria, it is necessary to comply with them. The NSF rules can be found at the following address:


Summary table of the specific eligibility criteria

<table>
<thead>
<tr>
<th>Country (agency)</th>
<th>Submission to the ANR</th>
<th>Submission to the foreign agency</th>
<th>Duration</th>
<th>Participation of a company</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>USA (NSF)</td>
<td>yes</td>
<td>copy of the project proposal</td>
<td>36 months maximum</td>
<td>ANR eligible, NSF to be checked with the NSF rules</td>
<td></td>
</tr>
</tbody>
</table>

To be eligible, proposals must meet all of the eligibility criteria of the two funding agencies.

5. EVALUATION

Projects proposals are evaluated by ANR only. They are evaluated according to the same main criteria as for the projects proposals submitted within the framework of another funding instrument of the Generic call for proposals 2024, some sub-criteria are specific to the PRCI instrument (cf. AAPG 2024 Guide, §B.5.3. Evaluation of full proposals), in particular related to the project's capacity to respond to the research challenges of the chosen scientific axis.

The foreign agency can suggest external reviewers that ANR may call upon for project evaluation. The foreign agency has also access to all information relating to the projects and their evaluation.

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7 In addition to these eligibility criteria are the criteria of the foreign agency (to be checked with the foreign agency).

Agence Nationale de la Recherche – Annex for the Franco-American ANR – NSF projects
ANR will subsequently provide its foreign counterpart with a list of projects to fund from those which passed the selection process. The two agencies then jointly validate the list of projects to be funded.

6. **FUNDING PROVISIONS**

Each agency will cover expenditures for their respective country’s teams **according to its own rules.** ANR’s funding regulations are available at: [http://www.agence-nationale-recherche.fr/financer-votre-projet/reglement-financier/](http://www.agence-nationale-recherche.fr/financer-votre-projet/reglement-financier/)

7. **SCHEDULE**

- Closure of project proposals registration on the ANR website: October, 19th 2023 at 5 pm (Paris time)
- Closure of full proposals submission on the ANR site: end of March 2024 (cf. AAPG website for updates)
- NSF schedule: it is essential to consult the website of the American agency regarding the full proposal submission procedures
- Joint decision and publication of the results: October 2024 (indicative date)
- Possible project start date: final quarter 2024 – January 2025 / to be adapted according to the foreign partner constraints