

Annex for Franco – HK Projects

Non-Lead Agency procedure

Generic call for proposals 2021

IMPORTANT

The evaluation of the Franco-HK projects will be carried out in accordance with the procedures detailed in the Generic Call for Proposals 2021, available [here](#) to which are added the provisions of this document.

It is essential to carefully read Work Programme 2021, the Generic Call for Proposals, its Guide and ANR's Regulations for allocating grants: <https://anr.fr/fr/rf/>, as well as this entire document before submitting a research project proposal

In case of any difference of interpretation, the French version of the present annex shall prevail

ANR contacts:

aapg.adfi@anr.fr: questions about the administrative and financial arrangements

aapg.science@anr.fr: questions about the scientific aspects of the proposal submitted

aapg.si@anr.fr: difficulties encountered when entering data or during document submission on the website

RGC contact:

All inquiries should be addressed first to the Research Offices of the respective universities.

https://www.ugc.edu.hk/eng/rgc/funding_opport/anr/index.html

1. CONTEXT AND OBJECTIVES OF COLLABORATION WITH HONG KONG

By establishing bilateral agreements with foreign funding agencies, the French National Research Agency (ANR) allows French researchers to initiate or extend their collaborative work with researchers from other countries. In this way it hopes to encourage the emergence of European and international teams of excellence.

The aim is to fund innovative bi-national projects **that clearly stand out** from on-going national projects, demonstrating great synergy between each country's teams and real integration of joint research.

ANR (*Agence Nationale de la Recherche*) and RGC (*Research Grants Council*) have decided to enter into a partnership to facilitate the setting up and implementation of high-quality scientific projects proposed jointly by French and HK research teams and to set up borderless research funding areas. As part of this agreement, the agencies of each country evaluate the proposals and fund their national teams¹ for selected projects, according to its own procedures.

The purpose of the partnership between ANR and RGC is to support projects in which there is genuine² collaboration between Franco-HK partners. Within this framework, partners will ensure the complementarity of the scientific contributions of each country and the added value of International cooperation. Insofar as possible, the French and foreign partners' projects must start and finish on the same dates. Please note that the RGC's authorized durations are **36 and 48 months only**.

2. RESEARCH PRIORITIES

The bi-lateral agreement with Hong Kong is open to all disciplinary fields funded by ANR and RGC with the **exception of the topics related to security** (Theme 8.8. Globalsecurity, Cybersecurity corresponding to the scientific evaluation panel CE39) .

3. SUBMISSION OF PROPOSALS

French and HK partners must prepare a joint scientific project. Teams from each country must each appoint a **national scientific coordinator**.

The project must be submitted in parallel to the respective funding agencies.

French partners:

Projects are submitted within the framework of the "International Collaborative Research Project" process (PRCI) in ANR's 2021 generic call for proposals.

¹ A French team is a partner with an institution or branch in France.

² Objectives defined jointly, shared skills and tasks, shared risks and results

Foreign partners:

It is essential to comply with the foreign agency's procedures, submission format, eligibility rules and opening and closure dates.

3.1. EARLY REGISTRATION OF PROPOSALS WITH ANR

The French Scientific Coordinator must **register his/her intention to submit a "PRCI" project** on ANR's submission website before 1pm (Paris time) on **December the 1st 2020**. **This registering with ANR is mandatory** (cf. §B.4. In the AAPG 2021 Guide) to be entitled to take part in the selection process. No pre-proposal is required at this stage.

To register an intention to submit a Franco-HK PRCI project, the applicant must complete the online form, the content of which is detailed in the AAPG2021 Guide §B.4.1. *Submitting pre-proposals (PRC/PRCE/JCJC instruments) and PRCI registering*). **Foreign partners** must be clearly identified in the online form.

In the online form, a **distinction must be made** between the provisional amount of grant requested by **French partners** and the provisional amount of grant requested by **foreign partners** from their respective national agency.

Note: if a foreign partner decides to withdraw from the project between the time a PRCI is registered and the time the full proposal is submitted, it will not be possible to change the funding instrument as there are two stages to the selection procedure for the other funding instruments (PRC, PRCE, JCJC).

The acronym and project title provided to each agency **must be the same**.

The acronym chosen and the name of the French coordinator are definitive and cannot be modified when the full proposal is submitted. This also applies to the choice of the scientific evaluation panel (cf. AAPG2021 Guide, §B.4).

3.1. SUBMISSION OF FULL PROPOSALS TO ANR

French PRCI project applicants registered before the 1st December 2020 at 1 pm (Paris time) deadline will be invited - subject to certain eligibility conditions - to submit a full proposal to ANR together with applicants to the PRC, PRCE and JCJC funding instruments selected at the first stage's outcome.

Applicants are **strongly advised to write their proposals in English** as the evaluation is carried out in bi-lateral collaboration with the foreign agency. If the scientific document is written in French, a **translation** may be requested.

On the ANR submission website, the French coordinator must be listed as **the scientific coordinator** and the foreign coordinator must be listed as the **country's referent**. The French coordinator is subject to the rules (including eligibility) that apply to all national scientific coordinators under the Generic call for proposals (see AAPG2021 Guide §B.5.2, *Eligibility of full proposals*).

The full PRCI proposals must comply with the rules regarding format and content set out in the *AAPG2021 Guide* (cf. §B.5. Stage 2: full proposal submission and evaluation procedures). Financial and administrative information on the foreign partners must be clearly indicated when the proposal is submitted on the website.

The following information concerning foreign partners must be provided: the name of the institution³, its category (private/public) and information concerning the foreign coordinator (the country's referent) must be indicated on the online form. The amount of funding requested (through **the simplified entry**) by foreign partners from their respective national agency must also be indicated on the online form.

Moreover, it is essential that applicants indicate the following information in the scientific document:

- **the foreign teams' scientific contribution;**
- **foreign partners' financial data broken down into items of expenditure**, in the same way as that of French partners⁴.

Finally, applicants are requested to provide a summary table for each French or foreign partner (with amounts indicated in each of the national currencies and their equivalent in Euro):

- the total amount of expenditures;
- the amount of funding requested from each funding agency (ANR, RGC).

The following table must be included in the preamble to the scientific document in the full proposal as a summary of the persons involved:

Country	University or Institution	Last Name	First Name	Current position	Role in the project	Involvement (person.months)*
				Professor?	Coordinator? Task number?	
				Technician?	Task number?	
				PostDoc to be hired in the frame of the project?	Task number?	

(* to be indicated with respect to the total project duration)

³ Partner as a legal person

⁴ With regard to the sub-criterion "Adequacy of the resources implemented and requested for the objectives of the project" of criterion 2 "Organization and implementation of the project", the resources mobilized and requested by the French partners AND by the foreign partners will be assessed.

4. ELIGIBILITY OF FULL PROPOSALS

Each agency decides on the eligibility of a project in accordance with **its own rules**.

ANR verifies the eligibility of the full proposal taking into account the criteria detailed in the AAPG2021 Guide (cf. §B.5.2 *Eligibility of full proposals*) and detailed below:

- The project's planned duration must be the same for both countries' partners. 24, 30 and 42 month projects proposals are excluded.

As foreign agencies have their own eligibility criteria, it is necessary to comply with them. The RGC rules can be found at the following adresse: https://www.ugc.edu.hk/eng/rgc/funding_opport/anr/call_letter.html

Summary table of the *specific eligibility criteria*⁵

Country (agency)	Submission to the ANR	Submission to the foreign agency	Duration	Participation of a company ⁶	Other
Hong Kong (RGC)	yes	yes	Projects proposals duration of 36 or 48 months only	If a HK company French company mandatory,	quota of a maximum of 5 projects per Hong Kong institution/university (pre-selection by the institute/ university of the foreign applicant). ANR will relay on the list of eligible projects proposals sent by the RGC

To be eligible, proposals must meet all of the eligibility criteria of the two funding agencies.

5. EVALUATION

The projects are evaluated in parallel by ANR and by the foreign agency according to their own evaluation procedure. Each project is thus evaluated on both sides. The evaluation by the ANR is carried out according to the same main criteria used for projects submitted as part of another funding instrument within the generic call for proposals 2021, with nevertheless some specific sub-criteria for the PRCI funding instrument, **in particular related to the project's capacity to respond to the research challenges of the chosen scientific axis**⁷. (cf. AAPG2021 Guide § B.5.3. *Evaluation of full proposals*).

⁵ In addition to these eligibility criteria are the criteria of the foreign agency (to be checked with the foreign agency).

⁶ Cf. definition in ANR's Regulations for allocating grants

⁷ New sub-criteria

Next, ANR and the foreign agency decide on the projects to fund jointly from those selected by the two selection processes specific to each agency.

6. FUNDING PROVISIONS

Each agency provides funding for the relative expenditure of its own country's teams **according to its own rules.**

The ANR funding rules are available at this address <http://www.agence-nationale-recherche.fr/financer-votre-projet/reglement-financier/>

7. SCHEDULE

- Closure of proposals registration on the ANR website: 1st December 2020 at 1 pm (Paris time)
- Closure of full proposals submission on the ANR website: end of April 2021
- RGC schedule: it is essential to consult the website of the Hong Kong agency: (please note that the closing date at the RGC will be fixed three working days after the deadline for the ANR's full proposals submission)
- Joint decision and publication of the results: October 2021 (indicative date)
- Possible project start date: final quarter 2021 – January 2022 / to be adapted according to the foreign partner constraints.