

## Annex for Franco-American projects

---

### Lead Agency Procedure (NSF)

#### Generic Call for Proposals 2021

##### **Important**

1. Franco-American projects shall **be evaluated only by the NSF Agency**, according its own terms, through its “CISE: Core Programs”. Full information available at the following address: [https://www.nsf.gov/funding/pgm\\_summ.jsp?pims\\_id=505667](https://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505667)
2. This document sets out detailed rules for the submission and funding procedures applicable to French teams working on Franco-American projects.
3. It is essential to carefully read [work programme 2021](#), [the generic call for proposals \(AAPG\) 2021](#), [the AAPG 2021 guide](#), the rules relating to ANR funding allocation procedures (<http://www.agence-nationale-recherche.fr/financer-votre-projet/reglement-financier/>) and all of this document before submitting research project proposals.
4. In case of any difference of interpretation, the French version of the present annex shall prevail

##### **ANR Contact Information:**

[aapg.adfi@anr.fr](mailto:aapg.adfi@anr.fr): questions about the administrative and financial arrangements

[aapg.science@anr.fr](mailto:aapg.science@anr.fr): questions about the scientific aspects of the project proposal

[aapg.si@anr.fr](mailto:aapg.si@anr.fr): difficulties encountered when entering data or during document submission  
on the website

##### **NSF Contact Information:**

[pregalia@nsf.gov](mailto:pregalia@nsf.gov): Phillip Regalia, Program Director

## 1. BACKGROUND AND OBJECTIVES OF COOPERATION WITH USA

By establishing bilateral agreements with foreign funding agencies, the French National Research Agency (ANR) allows French researchers to initiate or extend their collaborative work with researchers from other countries. In this way, it hopes to encourage the emergence of European and International teams of excellence.

The aim is to fund innovative bi-national projects that clearly stand out from on-going national projects, demonstrating great synergy between each country's teams and real integration of joint research.

To simplify and streamline the terms of collaboration and to set up borderless research funding areas, ANR (*Agence nationale de la recherche*) and NSF (*National Science Foundation, Directorate for Computer & Information Science & Engineering (CISE)*) have decided to enter into a Lead Agency type of agreement. Within this framework, the teams from the two countries prepare a joint project and one single agency (the Lead Agency) takes charge of the whole evaluation process (peer review and scientific panel evaluation) of the projects. Transnational projects compete with the national projects of the agency carrying out the evaluation. The partner agency can access all information on the projects. Each agency provides funding for its national teams<sup>1</sup> according to its own terms.

The Lead Agency procedure established between the ANR and the NSF *Directorate for Computer & Information Science & Engineering (CISE)* recognizes the NSF as the "Lead Agency" for the evaluation and for the selection of the Franco-American PRCI projects.<sup>2</sup>

As a result, the submission and evaluation of project proposals **are solely handled by the NSF**. Nevertheless, the French scientific coordinator and the other partners of the French party **must provide** certain administrative information to the ANR, according to the terms and conditions specified below.

The purpose of the partnership between ANR and NSF is to support projects in which there is genuine<sup>3</sup> collaboration between Franco-American partners.

Partners will ensure the complementarity of the scientific inputs of each country and the added value of the international cooperation. Insofar as possible, the French and foreign partners' projects must start and finish on the same dates. For information, NSF requests the duration **up to 36 months**.

---

<sup>1</sup> A French team is a partner with an institution or branch in France

<sup>2</sup> International Collaborative Research Projects

<sup>3</sup> Jointly defined objectives, sharing of skills and tasks, sharing of risks and results

## 2. RESEARCH PRIORITIES

The bi-lateral agreement with NSF is open to the following disciplinary fields:

ANR:

- **Mathematics and digital sciences** (axes 5.1-5.7, axis 6.1, axis 8.5)

NSF:

- **Computer & Information Science & Engineering (CISE): Core Programs**  
[https://www.nsf.gov/funding/pgm\\_summ.jsp?pims\\_id=505667](https://www.nsf.gov/funding/pgm_summ.jsp?pims_id=505667)

The research project proposal **must correspond** to the scientific axis of the AAPG 2021 call **and** to the NSF requests mentioned above.

## 3. SUBMISSION OF PROPOSALS

**French and American partners must prepare a joint scientific project.** Teams from each country must each appoint a **national scientific coordinator**.

**NSF is in charge of submission and evaluation for both countries.**

Project proposals must be submitted by American coordinators to NSF via the NSF's submission website <https://fastlane.nsf.gov/> or <https://www.research.gov/research-web/> or <https://www.grants.gov/> respecting the format and the details of its programme for «*CISE: Core Programs*».

Applicants should consult the information available on the website of NSF:

[https://www.nsf.gov/publications/pub\\_summ.jsp?ods\\_key=nsf21020](https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf21020)

The “CISE: Core Program” has no deadlines; accordingly, the deadline for the submission of applications to the NSF is the one applicable for submitting the copy to the ANR.

**French partners:**

**No registration of intention to submit** a project is required by ANR.

However, for administrative reasons, although the submission and evaluation are conducted by the foreign agency, the French participants must submit their proposals to the ANR via the ANR submission site:

- **The same scientific project proposal as that submitted to NSF, in the same format.** The French coordinator is subject to the rules (in particular of eligibility) to which all national scientific coordinators of the 2021 Generic call for proposals must comply (see AAPG 2021 Guide, § B.5.2. Eligibility of full proposals ). Please note, **the acronym and title of the project provided to each agency must be the same.**
- **The administrative and funding information** linked to **both** French and foreign partners **must be filled in** on the submission site's online form. The minimum

information expected concerning foreign partners is: the name of the Institute<sup>4</sup>, its category (private / public), and the information concerning the foreign coordinator (country referent). Only the amount of requested funding by foreign partners must be stated in the online form (via simplified entry only). **The detailed** information is expected from the French partners.

- **A scientific panel** responsible for the scientific monitoring of the project in case of success (description of the scientific panels is available in the AAPG 2021 text). Please note: this choice **cannot be changed** once the closing date and time has passed.

The deadline for submitting this information to the ANR is the **February the 9<sup>th</sup>, 2021, 1 p.m.** (Paris time). Projects proposals must be submitted to both agencies at due time. Those submitted to only one agency will be rejected. The submission website will open on the **December the 9<sup>th</sup> 2020**, the link will be indicated on the ANR website, on the generic 2021 call for proposals page.

#### 4. ELIGIBILITY

Each agency decides on the eligibility of a project in accordance with **its own rules**.

**ANR verifies the eligibility of the full proposal** taking into account the criteria detailed in the AAPG 2021 Guide (cf. §B.5.2 *Eligibility of full proposals*) and detailed below:

- 1) The provisional project proposal duration must be the same for the two country partners.

As foreign agencies have their own eligibility criteria, it is necessary to comply with them. The NSF rules for the “CISE: Core Program” can be found at the following addresses:

- [https://www.nsf.gov/publications/pub\\_summ.jsp?ods\\_key=pappg](https://www.nsf.gov/publications/pub_summ.jsp?ods_key=pappg)
- [https://www.nsf.gov/publications/pub\\_summ.jsp?ods\\_key=nsf21020](https://www.nsf.gov/publications/pub_summ.jsp?ods_key=nsf21020)

Summary table of the *specific eligibility criteria*<sup>5</sup>

Country (agency)	Submission to the foreign agency	Submission to the ANR	Duration	Participation of a company	Other
USA (NSF)	yes	copy of the project proposal	36 months maximum	ANR eligible NSF to be checked with NSF eligibility rules	

<sup>4</sup> Partner as a legal person

<sup>5</sup> In addition to these eligibility criteria are the criteria of the foreign agency (to be checked with the foreign agency).

**To be eligible, proposals must meet all of the eligibility criteria of the two funding agencies.**

## **5. EVALUATION**

Projects shall **only be evaluated by NSF** in accordance with its own rules.

The NSF will propose a list of projects to be funded by ANR.

The two agencies then jointly validate the list of projects to be funded.

## **6. FUNDING PROVISIONS**

Each agency will cover expenditures for their respective country's teams according to **its own rules**. ANR's funding regulations are available at: <https://anr.fr/fr/rf/>

## **7. CALENDAR**

- Proposal submissions on the NSF website: This should follow the applicable ANR deadline.
- Closure for submission proposals on the ANR website: 9 February 2021, 1 PM (Paris time)
- Joint decision and publication of results: October 2021 (**indicative date**)
- Possible project start date: final quarter 2021 – January 2022 / to be adapted according to the foreign partner constraints.