



**OPEN RESEARCH AREA IN EUROPE FOR THE SOCIAL SCIENCES.
ANR-DFG-ESRC-NWO**

Call for proposals

An agreement between the Agence Nationale de la Recherche (ANR France), Deutsche Forschungsgemeinschaft (DFG Germany), the Economic and Social Research Council (ESRC UK) and the Nederlands Organisatie voor Wetenschappelijk Onderzoek (NWO Netherlands).

In order to strengthen European co-operation in the field of social sciences, wishing to fund high quality scientific research within their own countries, and conscious that some of the best research can be delivered by working with the best researchers internationally, ANR, DFG, ESRC and NWO are launching a common call for proposals in order to fund the best joint research projects in social sciences. The scheme will provide for the funding of integrated projects by researchers coming from more than one of the four participating countries – in any combination of two or more countries, except French-German bilateral proposals, which should be submitted to the DFG/ANR scheme in the Social Sciences and Humanities. The partner organisations will conduct a co-ordinated peer review and a single common selection process. Funding will be distributed among the partners according to the place of work of the researchers, and generally according to the funding rules of each individual agency (with some exceptions detailed below).

Please note this scheme replaces existing bilateral schemes between ESRC and ANR, ESRC and DFG, and ESRC and NWO. Franco-German bilateral projects will continue to be funded under the ANR-DFG scheme for Social Sciences and Humanities and are therefore excluded from this call.

Within the framework of this call, the disciplinary coverage may vary according to the involvement of the national agencies. Proposals may be submitted in any area of the social sciences within the remit of the relevant agencies concerned. Applicants who are uncertain of whether their proposal would be eligible should contact the relevant national agencies for clarification.

Deadline for the submission of proposals:

13.04.2010, until 12 p.m. (midday) C.E.T for the electronic version
13.04.2010 (date of postmark) for the paper version (DFG applications only)

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DETAILS OF PROPOSED SCHEME

I. Eligibility Criteria

- The scheme will be open to joint applications from any eligible researchers from two or more of the subscribing countries. The applicants must meet the specific eligibility criteria set by their respective national funding agencies. Co-investigators from other countries may be included as long as they bring the relevant funding with them, or are eligible for funding under one of the subscribing agencies' rules. Joint applications, involving any two or more of the national partners will be submitted separately to each national agency.
Please note, as stated above, Franco-German bilateral projects will furthermore be funded under the ANR-DFG scheme for Social Sciences and Humanities and are therefore excluded from this call.
Please note, as for the specific field of linguistics NWO accepts only socio and psycho-linguistic applications, within the discipline psychonomics and cognitive psychology. For a full list of ESRC Disciplines please check the Je-S Help website: <https://je-s.rcuk.ac.uk/jesHandBook/jesHelp.aspx?m=s&s=141>.
- Disciplinary scope: proposals may be submitted in any area of the social sciences within the remit of the relevant national agencies concerned.
- Applications may be for projects with a **minimum duration of two years** and a **maximum of three years**.
- The projects must constitute substantive integrated work. This may include both research and resource and infrastructure projects – for example to establish surveys, datasets or corpora – but networking or other projects without a substantive focus will **not** be eligible.
- Projects must involve integrated collaboration between partners from more than one country. Projects must have well identified joint working, demonstrating clearly the added value of transnational collaboration. Loosely connected projects in two different countries, where there is no clear scientific value added from the collaboration will not be eligible.
- All applicants and their institutions must fulfil national eligibility rules for research proposals as set by the relevant agency. All budget items must conform to the national rules relevant for each applicant. The total value of applications in each country must not exceed funding levels for applications which apply in that country. The following funding limits will apply:
 - ANR** €200,000 per year
 - DFG** No maximum limit
 - ESRC** Up to £750,000 at 100% fEC (£600,000 at 80% fEC), with a minimum of £100k, per project
 - NWO** Up to € 250,000 per project
- Applicants must note that the national agencies retain the right to reject applications where they fail to comply with the procedures set out in the guidelines. If an application is ineligible with one national agency the complete project will be rejected by all the agencies concerned.
- Any application submitted to the ORA call cannot be simultaneously submitted to ESF/ECRP calls. If an application has been submitted to any other call this must be clearly stated in the application form (please also check national agency rules regarding submission of a project to more than one scheme).

II. Submission of Project Proposals

- Joint applications, involving any two or more of the national partners will be submitted separately to each national agency. There will be one single integrated proposal per project (see section IV below).
- Proposals to the national agencies can be sent in the national language, proposals to DFG or ANR may be submitted in German or French, respectively. NWO and ESRC proposals **must** be submitted in English. Therefore, there may be more than one language version per project, but these versions must be close and inter-operable translations of the same document. If English is

the dominant language in a specific field the authors may decide to write the proposal in that language only.

- The proposal within each country must be submitted to the national agency for that country by **April 13, 2010** in line with the procedures for that agency. Each national party will have a Principal Investigator (PI) in that country, which must be clearly identified in the Project Summary Document (see below).
- Applications should be sent complete by the deadline set out for the call. Applicants are obliged to ensure that the application contains sufficient and consistent information for evaluation. The national agencies will not seek or accept supplementary information or revisions after the application deadline.
- National agencies expect to communicate funding decisions by the end of December 2010. The earliest start dated for successful applications will be 01 January 2011, with integrated projects from each country starting on the same date.

III. Peer Review and Selection

There will be a two-stage peer review process. The first stage will obtain written reviews from a minimum of two external expert reviewers nominated by the relevant national agencies. One reviewer at least will be nominated by each agency from which funding is sought (according to the size and the disciplinary breadth of the projects, additional reviews may be commissioned). In the second stage, the projects will be assessed by a joint panel. The panel will consist of recognised researchers from various fields of social sciences who have sound knowledge and understanding of national level decision-making systems. The funding recommendations of the joint panel will be subject to approval by the national agencies.

IV. Guidelines for Proposals

To ensure a single common peer review, the joint integrated proposal will need to include a minimum amount of common information. There will therefore be two common elements to all applications: the *Project Summary Document*, and its relevant attachments, which contains basic information about the project team and the resources required, and a *Case for Support*, which describes the proposed research. In addition, individual national agencies will require additional information as detailed below.

1) The **Project Summary Document** will include:

1. A full list of the principal (PI) and co-investigators (Co-I) in all contributing countries with their full address and contact
2. Project title
3. Duration of project
4. Project abstract / Short description
5. Disciplines: what are the primary and other contributory disciplines?
6. Table of the full project costs.
7. Brief CVs including a list of publications (Maximum 2 page CV and 2 page publication list)
9. Justification of resources for all national agencies involved in the funding request. A clear explanation for the costs requested in the overall project (maximum of one page per national agency).

2) The **Case for Support**, which must be the same text (or an exact translation of the same text) in each application. The common Case for Support will be up to 5,000 words (excluding the bibliography) for bilateral applications and up to 6,000 words (excluding the bibliography) for multilateral applications. The Case for Support will include:

1. The aims/objectives of the research proposed
2. The intellectual, scientific and policy background to the proposal and why it is important
3. The theoretical and methodological basis for the work and why this will be feasible, innovative and interesting

4. Other relevant published and continuing research on which it will build
5. The specific research proposed and how this will be contributed to by the various national partners, the research timetable, the resources required, and the contributions of the various team members
6. Details of any specifics about evidence collection (primary and secondary sources, fieldwork, surveys, etc.) and of the proposed evidence analysis (statistical, theoretical, methodological), and why these are relevant, appropriate and innovative
7. Ethics: Any ethical issues arising from the research, how they will be addressed and that they have been subject to all appropriate national and institutional procedures. Please note applicants should check ethical requirements with the relevant national agencies.
8. Data management: Arrangements made for data storage and providing access to data for other researchers
9. Staff duties: what are the roles of the various staff proposed on the project?
10. Impact: who are seen as the beneficiaries of the research, both academic and non academic impact and how this research will be disseminated to them? Please note applications to ESRC will be required to also provide a 2 page Impact plan.

3) **Additional Information** needed for each agency

Additional information needed for applications to ANR
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Applications to the ANR will be made through ANR's website. The application link will be posted on the ORA page of the ANR's website by the 8th of January: <http://www.agence-nationale-recherche.fr/ORA-2010>

The Case for Support detailed above will be included as the "Document scientifique" and the project summary document will be included as an additional attachment. For full instructions on submitting an application on the ANR website, please check <http://www.agence-nationale-recherche.fr/FAQ>

For specific eligibility criteria to this scheme AND for additional specific guidance on submitting your ORA application on the ANR website, please check <http://www.agence-nationale-recherche.fr/documents/uploaded/2009/anr-ora-annexe-Fr.pdf>

Additional information needed for applications to DFG
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Eligibility

Please consult the Guidelines and Proposal Preparation Instructions for research grants for further information on eligibility.

- www.dfg.de/forschungsfoerderung/formulare/download/1_02.pdf (German)
- www.dfg.de/forschungsfoerderung/formulare/download/1_02e.pdf (English)

Application

In addition to the common project summary document and the *Case of Support*, applicants must provide supplementary information as requested in the DFG's Proposal Preparation Instructions:

1. General information (Allgemeine Angaben)
The "Case for Support" replaces section 2 to 3.2 of the DFG's Proposal Preparation Instructions
- 3.3 Experiments involving humans or human materials (Untersuchungen am Menschen oder an vom Menschen entnommenem Material)
4. Funds requested (Beantragte Mittel)
5. Prerequisites for carrying out the project (Voraussetzungen für die Durchführung des Vorhabens)
6. Declarations (Erklärungen)
7. Signature(s) (Unterschrift(en))
8. List of attachments (Verzeichnis der Anlagen)

Please follow the DFG Research Grant Guidelines and DFG's proposal structures' headlines.

- www.dfg.de/forschungsfoerderung/formulare/download/1_02.pdf (German)

- www.dfg.de/forschungsfoerderung/formulare/download/1_02e.pdf (English)

Publication of Data on Grant Holders and Research Projects

Please note that all information in the applications will be shared between the four agencies for the purposes of assessing applications to reach a joint funding decision (and only for this purpose). Information on successful applications will be made generally available, including names of Principal Investigators and Co-Investigators, institution, amount awarded, discipline, title, and a non-technical summary of the research project.

Additional information needed for applications to ESRC

For a full list of ESRC Disciplines please check the Je-S Help website: <https://jes.s.rcuk.ac.uk/jesHandBook/jesHelp.aspx?m=s&s=141>.

Applications to the ESRC will be made through the Je-S system. The Case for Support detailed above will be included as normal in the Je-S system and the Project Summary Document must be included as an additional attachment. For full instructions on submitting an ORA application to the ESRC, please see the Je-S guidance for the scheme: (insert Je-S guidance link/attachment)

Additional information needed for applications to NWO

Applications to NWO will be made through Iris. Applications can only be submitted using the NWO ORA Scheme application form. Applicants may download the form from the NWO subsidy guide. The application link will be posted on the NWO website by mid-January 2010.

For the Dutch project, the proposed research must lie within the domain of the social sciences. This domain is defined in the social sciences list of expertise codes (please see: [http://www.nwo.nl/files.nsf/pages/NWOP_66YCVE_Eng/\\$file/Disciplinelist_MaGW_2009.pdf](http://www.nwo.nl/files.nsf/pages/NWOP_66YCVE_Eng/$file/Disciplinelist_MaGW_2009.pdf)).

To be eligible for NWO funding, applicants for the Dutch project must hold a doctorate and be employed at a Dutch university or research institute recognised by NWO or the KNAW.

The total budget requested for the Dutch project may not be higher than €250,000. Material costs up to the sum of €50,000 can be applied for. These costs should be justified on the application form. An integrated ORA project can only include one Dutch project (research group based in The Netherlands).

For full instructions, please consult the ORA Scheme page on the NWO website. The ORA Scheme page will be posted on the NWO website by mid-January 2010.